Communication of Multiple Bits: [Ch. 8] Problem Setup: • $\underline{I} \in \{0, 1, 2, \dots, M-1\}, M = 2^{K_b}$ • $\pi_i \stackrel{\Delta}{=} P(I=i)$ • $I = i \implies X_z(t) = x_i(t)$ with time support on $[0, T_p]$ • $Y_z(t) = X_z(t) + W_z(t)$ for CWGN with $S_{W_z}(f) = N_o$ Goals: 1. Optimum word demodulation 2. Optimum bit demodulation Phil Schniter OSU ECE-809 Recall binary demodulation $Y_z(t) \longrightarrow H(f) \xrightarrow{V_z(t)} \operatorname{Re}(\cdot) \xrightarrow{V_{\mathrm{I}}(t)} \underbrace{V_{\mathrm{I}}(t)}_{t = T_p} \xrightarrow{V_{\mathrm{I}}(T_p)} \underbrace{\stackrel{\hat{I} = 1}{\stackrel{>}{\underset{i = 0}{\overset{\langle}{t} = 0}}}_{\hat{I}} \xrightarrow{\hat{I}}$

With equal priors ($\pi_0 = \pi_1$), MAP ightarrow ML and the ML bit demodulator specified:

$$h(t) = x_1^*(T_p - t) - x_0^*(T_p - t)$$

$$\gamma = \frac{1}{2} (m_1(T_p) + m_0(T_p))$$

$$= \frac{1}{2} \operatorname{Re} (E_1 - \sqrt{E_1 E_0} \rho_{10} + \sqrt{E_1 E_0} \rho_{10}^* - E_0)$$

$$= \frac{1}{2} (E_1 - E_0)$$

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Redraw the block diagram:



Noting that

$$(v_1 - v_0)^{\stackrel{\hat{t}=1}{>}}_{\stackrel{\hat{t}=0}{=}} \frac{E_1 - E_0}{2} \quad \Leftrightarrow \quad (v_1 - \frac{E_0}{2})^{\stackrel{\hat{t}=1}{>}}_{\stackrel{\hat{t}=0}{=}} (v_0 - \frac{E_1}{2}):$$

can redraw again:



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Generalizing to M-ary equal-priors case:



$$\begin{split} V_i(t) &\stackrel{\Delta}{=} Y_z(t) * x_i^*(T_p - t) = \int_{-\infty}^{\infty} Y_z(\tau) x_i^*(T_p - t + \tau) d\tau. \\ T_i &\stackrel{\Delta}{=} \operatorname{Re} \int_{-\infty}^{\infty} Y_z(\tau) x_i^*(\tau) d\tau - \frac{E_i}{2}, \quad \text{``ML metric for } I = i'' \\ \underline{\hat{I}} &= \operatorname{arg} \max_{i \in \{0, \dots, M-1\}} T_i, \quad \text{``ML word demodulator (MLWD)''} \end{split}$$

For M-ary non-uniform priors:

$$\underline{\hat{I}} = \arg \max_{i \in \{0, \dots, M-1\}} \exp\left(\frac{2T_i}{N_0}\right) \pi_i, \quad \text{``MAPWD''}$$

which yields a similar structure:



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Word Error Probability (WEP) Analysis:

WEP
$$\triangleq \sum_{j=0}^{M-1} \Pr(\hat{\underline{I}} \neq j | \underline{I} = j) \pi_j$$

Due to non-linearity of MAPWD, difficult to evaluate in the case of nonuniform priors. So consider $\pi_i = \frac{1}{M}$ and MLWD.

$$WEP = \frac{1}{M} \sum_{j=0}^{M-1} \Pr(\hat{\underline{I}} \neq j | \underline{I} = j)$$
$$\Pr(\hat{\underline{I}} \neq j | \underline{I} = j) = \Pr(\max_{i \neq j} T_i > T_j | \underline{I} = j)$$

What are the statistics of $T_i|_{\underline{I}=j}$?

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Denote conditional likelihood statistic $T_{i|j} \stackrel{\Delta}{=} T_i \Big|_{\underline{I}=j}$.

$$T_{i|j} = \operatorname{Re} \int_{-\infty}^{\infty} (x_j(t) + W_z(t)) x_i^*(t) dt - \frac{E_i}{2}$$
$$= \sqrt{E_i E_j} \operatorname{Re} \rho_{ji} + N_I^{(i)} - \frac{E_i}{2}$$

where $N_I^{(i)} \sim \mathcal{N}(0, E_i N_o/2)$. Then using the <u>union bound</u>,

$$\begin{aligned} \Pr(\hat{\underline{I}} \neq j | \underline{I} = j) &= \Pr(\max_{i \neq j} T_{i|j} > T_{j|j}) \\ &= \Pr(\bigcup_{i=0, i \neq j}^{M-1} \{T_{i|j} > T_{j|j}\}) \\ &\leq \sum_{i=0, i \neq j}^{M-1} \underbrace{\Pr(T_{i|j} > T_{j|j})}_{\text{pairwise error prob.}} \end{aligned}$$

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Illustration of union bound (for j = 0, M = 4):



The union bound is tight at high SNR.

Analyze pairwise error prob:

$$\begin{split} \Pr(T_{i|j} > T_{j|j}) &= \Pr\left(\sqrt{E_i E_j} \operatorname{Re} \rho_{ji} - \frac{E_i}{2} + N_{\mathrm{I}}^{(i)} > \frac{E_j}{2} + N_{\mathrm{I}}^{(j)}\right) \\ &= \Pr\left(\underbrace{N_{\mathrm{I}}^{(i)} - N_{\mathrm{I}}^{(j)}}_{N_{ij}} > \underbrace{\frac{E_i + E_j}{2} - \sqrt{E_i E_j} \operatorname{Re} \rho_{ji}}_{\Delta_E(i,j)/2}\right) \\ &N_{ij} &= \operatorname{Re} \int_{-\infty}^{\infty} W_z(t) \left[x_i^*(t) - x_j^*(t)\right] dt \\ &\sigma_{N_{ij}}^2 &= \frac{N_o}{2} \Delta_E(i,j) \\ \Pr(T_{i|j} > T_{j|j}) &= \frac{1}{2} \operatorname{erfc}\left(\sqrt{\frac{\Delta_E(i,j)}{4N_o}}\right) \\ \dots \text{ same as BEP.} \end{split}$$

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Plug back into union bound:

$$\mathsf{WEP} \leq \frac{1}{M} \sum_{j=0}^{M-1} \sum_{i=0, i \neq j}^{M-1} \frac{1}{2} \operatorname{erfc}\left(\sqrt{\frac{\Delta_E(i,j)}{4N_o}}\right)$$

Notes:

- WEP usually dominated by the largest $\Delta_E(i, j)$.
- Frequency of different $\Delta_E(i,j)$: "distance spectrum."
- Signals with equal $\Delta_E(i,j)$: "geometrically uniform."
- Signal design: minimize the maximum $\Delta_E(i,j)$.

Optimum Bit Demodulation:

- In some applications, care more about bit error rate.
- Minimizing word error rate \neq minimizing bit error rate!

Goal:

Demodulate
$$I^{(k)}$$
 in $\underline{I} = [I^{(1)}, I^{(2)}, \dots, I^{(K_b)}].$

MAPBD compares a posteriori probabilities (APPs):

$$\Pr(I^{(k)} = 1 | y_z(t)) \stackrel{\hat{I}=1}{\underset{\hat{I}=0}{>}} \Pr(I^{(k)} = 0 | y_z(t))$$

but $\Pr(y_z(t)|I^{(k)}=m)$ is not Gaussian, so we need a different approach.

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Define
$$\underline{I}^{(-)} = [I^{(1)}, \dots, I^{(k-1)}, I^{(k+1)}, \dots, I^{(K_b)}]$$
 and
represent $\underline{I}^{(-)} = n \in \{0, 1, \dots, M/2 - 1\}.$

$$\Pr(I^{(k)} = m | y_z(t)) = \sum_{n=0}^{M/2-1} \Pr(\underbrace{I^{(k)} = m, \underline{I}^{(-)} = n}_{\underline{I}} | y_z(t))$$
$$= C \sum_{n=0}^{M/2-1} \exp\left(\frac{2T_{\{m,n\}}}{N_o}\right) \pi_{\{m,n\}}$$

MAPBD:

$$\sum_{n=0}^{M/2-1} \exp\left(\frac{2T_{\{1,n\}}}{N_o}\right) \pi_{\{1,n\}} \stackrel{\hat{I}=1}{\underset{I=0}{\overset{<}{\sim}}} \sum_{n=0}^{M/2-1} \exp\left(\frac{2T_{\{0,n\}}}{N_o}\right) \pi_{\{0,n\}}$$

MLWD:

- Compute $\{T_i\}_{i=0}^{M-1}$.
- Complexity $\mathcal{O}(2^{K_b})$.

MLBD:

- Compute $\{e^{2T_i/N_o}\}_{i=0}^{M-1}$ then average for each bit.
- Complexity $\mathcal{O}(K_b 2^{K_b})$.
- Small improvement in BEP (very small at high SNR).
- Useful in (suboptimum) iterative demodulation schemes!

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Example 1: M-ary FSK (with $2f_d$ separation)

$$x_i(t) = \begin{cases} \sqrt{\frac{K_b E_b}{T_p}} \exp\left(j2\pi f_d(2i - M + 1)t\right) & t \in [0, T_p] \\ 0 & t \notin [0, T_p] \end{cases}$$

where f_d is a design parameter.

Orthogonal *M*-FSK:

$$f_d = \frac{1}{4T_p} \rightsquigarrow \operatorname{Re} \rho_{ij} = 0$$
; geometrically uniform.

Bandwidth efficiency:

$$B_T = \frac{2^{K_b+1}}{4T_p} \text{ Hz}, \quad W_B = \frac{K_b}{T_p} \frac{\text{bits}}{\text{sec}}, \quad \eta_B = \frac{W_B}{B_T} = \frac{K_b}{2^{K_b-1}}$$



Orthogonal *M*-FSK Summary:

As K_b increases:

- reliability increases
- bandwidth efficiency decreases (exponentially)
- complexity increases (exponentially)

Hence, M-FSK useful when high reliability is required and when low spectral efficiency can be tolerated.

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Example 2: *M*-ary PSK (with uniformly distributed phases)

$$x_i(t) = \begin{cases} \sqrt{\frac{K_b E_b}{T_p}} \exp\left(j\frac{\pi(2i+1)}{M}\right) & t \in [0, T_p] \\ 0 & t \notin [0, T_p] \end{cases}$$

Note common pulse shape: $x_i(t) = d_i u(t)$.

$$\frac{\hat{I}}{I} = \arg \max_{i} T_{i}$$

$$= \arg \max_{i} \operatorname{Re} \left[\exp \left(-j \frac{\pi (2i+1)}{M} \right) \underbrace{\int_{-\infty}^{\infty} Y_{z}(t) u^{*}(t) dt}_{\mathsf{MF output } Q} \right]$$

$$= \arg \min_{i} \left| \frac{\pi (2i+1)}{M} - \angle Q \right|$$



M-PSK Summary:

As K_b increases:

- reliability decreases
- bandwidth efficiency increases $(\eta_B = K_b)$
- complexity doesn't change much

Hence, M-PSK useful when high bandwidth efficiency is required and SNR is reasonably high.

